

Receipt date: 04/06/2010

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10749152
	Filing Date		2003-12-31
	First Named Inventor	Rajiv Vasant Joshi, et al.	
	Art Unit	2822	
	Examiner Name	Kevin M. Picardat	
Attorney Docket Number		YOR919940172US6	

U.S. PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	3675062		1972-07-04	Flasche	
	2	3890870		1975-06-24	Van Cleave	
	3	4990410		1991-02-05	Saitoh, et al.	
	4	4789648		1988-12-06	Chow, et al.	
	5	4944836		1990-07-31	Beyer, et al.	
	6	5373192		1994-12-13	Eguchi	
	7	5403779		1995-04-04	Joshi, et al.	
	8	5527739		1996-06-18	Parrillo, et al.	

Receipt date: 04/06/2010

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10749152
Filing Date	2003-12-31
First Named Inventor	Rajiv Vasant Joshi, et al.
Art Unit	2822
Examiner Name	Kevin M. Picardat
Attorney Docket Number	YOR919940172US6

9	5672545		1997-09-30	Trautt, et al.	
10	5709958		1998-01-20	Toyoda, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S. PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

**FOREIGN PATENT DOCUMENTS**

Remove

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	JP07340833	JP		1924-10-01			<input type="checkbox"/>
	2	JP4284627A	JP		1992-10-09			<input type="checkbox"/>
	3	JP4293232A	JP		1992-10-16			<input type="checkbox"/>
	4	JP04-363024	JP		1992-12-15			<input type="checkbox"/>

Receipt date: 04/06/2010

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Not for submission under 37 CFR 1.99)

Application Number	10749152
Filing Date	2003-12-31
First Named Inventor	Rajiv Vasant Joshi, et al.
Art Unit	2822
Examiner Name	Kevin M. Picardat
Attorney Docket Number	YOR919940172US6

5	JP6140393A	JP	1994-05-20	<input type="checkbox"/>
6	JP6216133A	JP	1994-08-05	<input type="checkbox"/>
7	JP6216260A	JP	1994-08-05	<input type="checkbox"/>
8	JP6349833A	JP	1994-12-22	<input type="checkbox"/>
9	JP8255836A	JP	1996-10-01	<input type="checkbox"/>
10	JP4072733	JP	1992-06-03	<input type="checkbox"/>
11	JP6029405	JP	1994-04-02	<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	TSUKASA KOBAYASHI, et al. - "Substrate Temperature Dependence of Hillock, Grain, and Crystal Orientation in Sputtered Al-Alloy Films" - XP2005739 - J. Vac. Sci. Technology A5 (4), July/August 1987 - pp. 2088 - 2091	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

Receipt date: 04/06/2010

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10749152
Filing Date	2003-12-31
First Named Inventor	Rajiv Vasant Joshi, et al.
Art Unit	2822
Examiner Name	Kevin M. Picardat
Attorney Docket Number	YOR919940172US6

**EXAMINER SIGNATURE**

Examiner Signature	/Kevin Picardat/	Date Considered	04/27/2010
--------------------	------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.